

# *Pathology of Imprisonment*

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*Philip Zimbardo and his colleagues were interested in studying the effects of imprisonment on human behavior—in particular, the psychological impact of imprisonment. They opened a mock prison and peopled it with carefully screened college students who were “mature, emotionally stable, normal, intelligent,” and “from middle-class homes throughout the United States and Canada.” Then they observed what happened. The results of the experiment proved so shocking that the researchers aborted it after just six days. In this essay, Zimbardo reports on these results. He then comments on why prisons breed pathological behavior and what we might do to prevent what happened during the experiment.*

**J** was recently released from solitary confinement after being held therein for 37 months [months!]. A silent system was imposed upon me and to even whisper to the man in the next cell resulted in being beaten by guards, sprayed with chemical mace, blackjacked, stomped and thrown into a strip-cell naked to sleep on a concrete floor without bedding, covering, wash basin or even a toilet. The floor served as toilet and bed, and even there the silent system was enforced. To let a moan escape your lips because of the pain and discomfort . . . resulted in another beating. I spent not days, but months there during my 37 months in solitary. . . . I have filed every writ possible against the administrative acts of brutality. The state courts have all denied the petitions. Because of my refusal to let the things die down and forget all that happened during my 37 months in solitary . . . I am the most hated prisoner in (this) penitentiary, and called a “hard-core incorrigible.”

Maybe I am an incorrigible, but if true, it's because I would rather die than to accept being treated as less than a human being. I have never complained of my prison sentence as being unjustified except through legal means of appeals. I have never put a knife on a guard's throat and demanded

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“Pathology of Imprisonment,” by Philip Zimbardo, reprinted from *Society*, vol. 9, no. 6, 1972, pp. 4–8.

my release. I know that thieves must be punished and I don't justify stealing, even though I am a thief myself. But now I don't think I will be a thief when I am released. No, I'm not rehabilitated. It's just that I no longer think of becoming wealthy by stealing. I now only think of killing—killing those who have beaten me and treated me as if I were a dog. I hope and pray for the sake of my own soul and future life of freedom that I am able to overcome the bitterness and hatred which eats daily at my soul, but I know to overcome it will not be easy.

This eloquent plea for prison reform—for humane treatment of human beings, for the basic dignity that is the right of every American—came to me secretly in a letter from a prisoner who cannot be identified because he is still in a state correctional institution. He sent it to me because he read of an experiment I recently conducted at Stanford University. In an attempt to understand just what it means psychologically to be a prisoner or a prison guard, Craig Haney, Curt Banks, Dave Jaffe and I created our own prison. We carefully screened over 70 volunteers who answered an ad in a Palo Alto city newspaper and ended up with about two dozen young men who were selected to be part of this study. They were mature, emotionally stable, normal, intelligent college students from middle-class homes throughout the United States and Canada. They appeared to represent the cream of the crop of this generation. None had any criminal record and all were relatively homogeneous on many dimensions initially.

Half were arbitrarily designated as prisoners by a flip of a coin, the others as guards. These were the roles they were to play in our simulated prison. The guards were made aware of the potential seriousness and danger of the situation and their own vulnerability. They made up their own formal rules for maintaining law, order and respect, and were generally free to improvise new ones during their eight-hour, three-man shifts. The prisoners were unexpectedly picked up at their homes by a city policeman in a squad car, searched, handcuffed, fingerprinted, booked at the Palo Alto station house and taken blindfolded to our jail. There they were stripped, deloused, put into a uniform, given a number and put into a cell with two other prisoners where they expected to live for the next two weeks. The pay was good (\$15 a day) and their motivation was to make money.

We observed and recorded on videotape the events that occurred in the prison, and we interviewed and tested the prisoners and guards at various points throughout the study. Some of the videotapes of the actual encoun-

ters between the prisoners and guards were seen on the NBC News feature "Chronolog" on November 26, 1971.

At the end of the only six days we had to close down our mock prison because what we saw was frightening. It was no longer apparent to most of the subjects (or to us) where reality ended and their roles began. The majority had indeed become prisoners or guards, no longer able to clearly differentiate between role playing and self. There were dramatic changes in virtually every aspect of their behavior, thinking and feeling. In less than a week the experience of imprisonment undid (temporarily) a lifetime of learning; human values were suspended, self-concepts were challenged and the ugliest, most base, pathological side of human nature surfaced. We were horrified because we saw some boys (guards) treat others as if they were despicable animals, taking pleasure in cruelty, while other boys (prisoners) became servile, dehumanized robots who thought only of escape, of their own individual survival and of their mounting hatred for the guards.

We had to release three prisoners in the first four days because they had such acute situational traumatic reactions as hysterical crying, confusion in thinking and severe depression. Others begged to be paroled, and all but three were willing to forfeit all the money they had earned if they could be paroled. By then (the fifth day) they had been so programmed to think of themselves as prisoners that when their request for parole was denied, they returned docilely to their cells. Now, had they been thinking as college students acting in an oppressive experiment, they would have quit once they no longer wanted the \$15 a day we used as our only incentive. However, the reality was not quitting an experiment but "being paroled by the parole board from the Stanford County Jail." By the last days, the earlier solidarity among the prisoners (systematically broken by the guards) dissolved into "each man for himself." Finally, when one of their fellows was put in solitary confinement (a small closet) for refusing to eat, the prisoners were given a choice by one of the guards: give up their blankets and the incorrigible prisoner would be let out, or keep their blankets and he would be kept in all night. They voted to keep their blankets and to abandon their brother.

About a third of the guards became tyrannical in their arbitrary use of power, in enjoying their control over other people. They were corrupted by the power of their roles and became quite inventive in their techniques of breaking the spirit of the prisoners and making them feel they were worthless. Some of the guards merely did their jobs as tough but fair correctional officers, and several were good guards from the prisoners' point of view

since they did them small favors and were friendly. However, no good guard ever interfered with a command by any of the bad guards; they never intervened on the side of the prisoners, they never told the others to ease off because it was only an experiment, and they never even came to me as prison superintendent or experimenter in charge to complain. In part, they were good because the others were bad; they needed the others to help establish their own egos in a positive light. In a sense, the good guards perpetuated the prison more than the other guards because their own needs to be liked prevented them from disobeying or violating the implicit guards' code. At the same time, the act of befriending the prisoners created a social reality which made the prisoners less likely to rebel.

By the end of the week the experiment had become a reality, as if it were a Pirandello play directed by Kafka that just keeps going after the audience has left. The consultant for our prison, Carlo Prescott, an ex-convict with 16 years of imprisonment in California's jails, would get so depressed and furious each time he visited our prison, because of its psychological similarity to his experiences, that he would have to leave. A Catholic priest who was a former prison chaplain in Washington, D. C. talked to our prisoners after four days and said they were just like the other first-timers he had seen.

But in the end, I called off the experiment not because of the horror I saw out there in the prison yard, but because of the horror of realizing that I could have easily traded places with the most brutal guard or become the weakest prisoner full of hatred at being so powerless that I could not eat, sleep or go to the toilet without permission of the authorities. I could have become Calley at My Lai, George Jackson at San Quentin, one of the men at Attica or the prisoner quoted at the beginning of this article.

Individual behavior is largely under the control of social forces and environmental contingencies rather than personality traits, character, will power or other empirically unvalidated constructs. Thus we create an illusion of freedom by attributing more internal control to ourselves, to the individual, than actually exists. We thus underestimate the power and pervasiveness of situational controls over behavior because: a) they are often non-obvious and subtle, b) we can often avoid entering situations where we might be so controlled, c) we label as "weak" or "deviant" people in those situations who do behave differently from how we believe we would.

Each of us carries around in our heads a favorable self-image in which we are essentially just, fair, humane and understanding. For example, we could not imagine inflicting pain on others without much provocation or

hurting people who had done nothing to us, who in fact were even liked by us. However, there is a growing body of social psychological research which underscores the conclusion derived from this prison study. Many people, perhaps the majority, can be made to do almost anything when put into psychologically compelling situations—regardless of their morals, ethics, values, attitudes, beliefs or personal convictions. My colleague, Stanley Milgram, has shown that more than 60 percent of the population will deliver what they think is a series of painful electric shocks to another person even after the victim cries for mercy, begs them to stop and then apparently passes out. The subjects complained that they did not want to inflict more pain but blindly obeyed the command of the authority figure (the experimenter) who said that they must go on. In my own research on violence, I have seen mild-mannered co-eds repeatedly give shocks (which they thought were causing pain) to another girl, a stranger whom they had rated very favorably, simply by being made to feel anonymous and put in a situation where they were expected to engage in this activity.

Observers of these and similar experimental situations never predict their outcomes and estimate that it is unlikely that they themselves would behave similarly. They can be so confident only when they were outside the situation. However, since the majority of people in these studies do act in non-rational, non-obvious ways, it follows that the majority of observers would also succumb to the social psychological forces in the situation.

With regard to prisons, we can state that the mere act of assigning labels to people and putting them into a situation where those labels acquire validity and meaning is sufficient to elicit pathological behavior. This pathology is not predictable from any available diagnostic indicators we have in the social sciences, and is extreme enough to modify in very significant ways fundamental attitudes and behavior. The prison situation, as presently arranged, is guaranteed to generate severe enough pathological reactions in both guards and prisoners as to debase their humanity, lower their feelings of self-worth and make it difficult for them to be part of a society outside of their prison.

For years our national leaders have been pointing to the enemies of freedom, to the fascist or communist threat to the American way of life. In so doing they have overlooked the threat of social anarchy that is building within our own country without any outside agitation. As soon as a person comes to the realization that he is being imprisoned by his society or individuals in it, then, in the best American tradition, he demands liberty and

rebels, accepting death as an alternative. The third alternative, however, is to allow oneself to become a good prisoner—docile, cooperative, uncomplaining, conforming in thought and complying in deed.

Our prison authorities now point to the militant agitators who are still vaguely referred to as part of some communist plot, as the irresponsible, incorrigible troublemakers. They imply that there would be no trouble, riots, hostages or deaths if it weren't for this small band of bad prisoners. In other words, then, everything would return to "normal" again in the life of our nation's prisons if they could break these men.

The riots in prison are coming from within—from within every man and woman who refuses to let the system turn them into an object, a number, a thing or a no-thing. It is not communist inspired, but inspired by the spirit of American freedom. No man wants to be enslaved. To be powerless, to be subject to the arbitrary exercise of power, to not be recognized as a human being is to be a slave.

To be a militant prisoner is to become aware that the physical jails are but more blatant extensions of the forms of social and psychological oppression experienced daily in the nation's ghettos. They are trying to awaken the conscience of the nation to the ways in which the American ideals are being perverted apparently in the name of justice but actually under the banner of apathy, fear and hatred. If we do not listen to the pleas of the prisoners at Attica to be treated like human beings, then we have all become brutalized by our priorities for property rights over human rights. The consequence will not only be more prison riots but a loss of all those ideals on which this country was founded.

The public should be aware that they own the prisons and that their business is failing. The 70 percent recidivism rate and the escalation in severity of crimes committed by graduates of our prisons are evidence that current prisons fail to rehabilitate the inmates in any positive way. Rather, they are breeding grounds for hatred of the establishment, a hatred that makes every citizen a target of violent assault. Prisons are a bad investment for us taxpayers. Until now we have not cared, we have turned over to wardens and prison authorities the unpleasant job of keeping people who threaten us out of our sight. Now we are shocked to learn that their management practices have failed to improve the product and instead turn petty thieves into murderers. We must insist upon new management or improved operating procedures.

The cloak of secrecy should be removed from the prisons. Prisoners claim they are brutalized by the guards, guards say it is a lie. Where is the impartial test of the truth in such a situation? Prison officials have forgotten that they work for us, that they are only public servants whose salaries are paid by our taxes. They act as if it is their prison, like a child with a toy he won't share. Neither lawyers, judges, the legislature nor the public is allowed into prisons to ascertain the truth unless the visit is sanctioned by authorities and until all is prepared for their visit. I was shocked to learn that my request to join a congressional investigating committee's tour of San Quentin and Soledad was refused, as was that of the news media.

There should be an ombudsman in every prison, not under the pay or control of the prison authority, and responsible only to the courts, state legislature and the public. Such a person could report on violations of constitutional and human rights.

Guards must be given better training than they now receive for the difficult job society imposes upon them. To be a prison guard as now constituted is to be put in a situation of constant threat from within the prison, with no social recognition from the society at large. As was shown graphically at Attica, prison guards are also prisoners of the system who can be sacrificed to the demands of the public to be punitive and the needs of politicians to preserve an image. Social scientists and business administrators should be called upon to design and help carry out this training.

The relationship between the individual (who is sentenced by the courts to a prison term) and his community must be maintained. How can a prisoner return to a dynamically changing society that most of us cannot cope with after being out of it for a number of years? There should be more community involvement in these rehabilitation centers, more ties encouraged and promoted between the trainees and family and friends, more educational opportunities to prepare them for returning to their communities as more valuable members of it than they were before they left.

Finally, the main ingredient necessary to effect any change at all in prison reform, in the rehabilitation of a single prisoner or even in the optimal development of a child is caring. Reform must start with people—especially people with power—caring about the well-being of others. Underneath the toughest, society-hating convict, rebel or anarchist is a human being who wants his existence to be recognized by his fellows and who wants someone else to care about whether he lives or dies and to grieve if he lives imprisoned rather than lives free.



## *Questions*

1. What impacts did “imprisonment” have on group solidarity among the prisoners in this study?
2. To what degree did the students internalize their role as guards? How did this affect their treatment of the student prisoners?
3. In your view, how influential is social situation or context in determining behavior? What role does labeling play in the interaction?
4. What prison characteristics contribute to the development of pathological behavior? Explain.
5. Why did the student prisoners tolerate the abuse from the “guards” and suffer through other demeaning behavior when they were there voluntarily?
6. What changes does Zimbardo recommend to make prisons more effective or at least less fertile breeding grounds for pathological behavior?